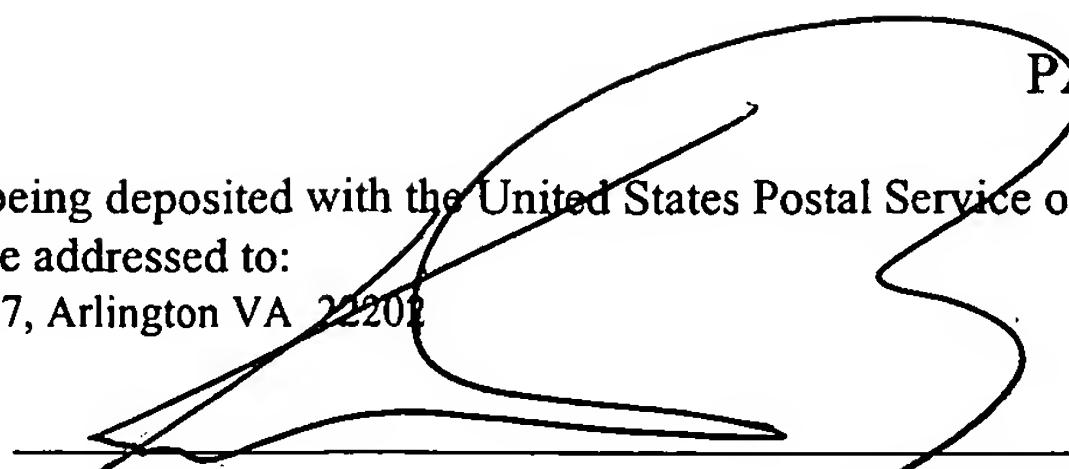


OP 2176

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Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Timothy S. LaBadie, *et al.*
Serial No.: 10/090,360
Filed: March 4, 2002
For: Check Conversion Plus
Grp. Art Unit: 2176
Docket No.: 210655.90107

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INFORMATION DISCLOSURE STATEMENT

- ☒ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☐ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
(before issue fee payment)

Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)
- ☐ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and

- ☐ (8) The required certification made in item 11 below; or
- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before issue fee payment); and
- [a] (This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$_____.00 required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and
- [b] The required Certification is stated in item 11 below.
- ☐ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055

Respectfully submitted,

TIMOTHY S. LABADIE, *et al.*

By: 

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/090,360
		Filing Date	March 4, 2002
		First Named Inventor	Timothy S. LaBadie et al.
		Group Art Unit	2716
		Examiner Name	
Sheet 1	of 1	Attorney Docket Number 210655.90107	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,634,845		Hale et al.	Jan. 6, 1987	
		4,689,478		Hale et al.	Aug. 25, 1987	
		4,888,812		Dinan et al.	Dec. 19, 1989	
		5,484,988		Hills et al.	Jan. 16, 1996	
		5,677,955		Doggett et al.	Oct. 14, 1997	
		5,715,314		Payne et al.	Feb. 3, 1998	
		5,724,424		Gifford	Mar. 3, 1998	
		6,041,315		Pollin	Mar. 21, 2000	
		5,383,113		Kight et al.	Jan. 17, 1995	
		5,679,940		Templeton et al.	Oct. 21, 1997	
		5,794,207		Walker et al.	Aug. 11, 1998	
		5,946,669		Polk	Aug. 31, 1999	
		5,987,132		Rowney	Nov. 16, 1999	
		6,098,053		Slater	Aug. 1, 2000	
		6,125,349		Maher	Sep. 26, 2000	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			EP 661 654 A2		IBM	05.07.95		
			WO 97/02538		Visa Int'l Service Assoc	23 Jan 1997		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.